



THE
NAVAJO
NATION

PRESIDENT
JOE SHIRLEY, JR.
VICE PRESIDENT
BEN SHELLY

OFFICE OF THE NAVAJO TAX COMMISSION
Post Office Box 1903 • Window Rock, Navajo Nation (Arizona) 86515-1903
(928) 871-6681 • (928) 871-7608 FAX

Policies and Procedures Penalties for Violations of Liquor Regulations

I. Authority

These Policies and Procedures are issued in accordance with the Navajo Nation Liquor Regulations (“Regulations”), approved by the Navajo Tax Commission on October 13th, 2008. The Regulations give the Office the authority to issue Policies and Procedures regarding the imposition of penalties for violations of the Regulations.

All of the definitions set out in the Regulations apply to these Policies and Procedures.

These Policies and Procedures may be amended as necessary in the same manner as originally approved.

II. Introduction

Pursuant to the Regulations, all Licensees must comply with the Regulations and with any terms set forth in the License application. Failure to do so will result in the imposition of penalties as set out below.

III. Penalties

The following civil penalties shall apply to all violations as follows:

<u>Violation</u>	<u>Penalty per violation</u>	
Violating any term or condition of the License	\$500 per violation	
Selling Liquor within the Navajo Nation without a License	1 st offense	\$1,000
	2 nd offense	\$2,500
	3 rd offense	suspension of License
	4 th offense	revocation of License
Buying Liquor within the Navajo Nation from an unlicensed person	1 st offense	\$2,500
	2 nd offense	\$5,000

	3 rd offense	suspension of License
	4 th offense	revocation of License
Selling Liquor within the Navajo Nation to a minor	1 st offense	\$500
	2 nd offense	\$1,000
	3 rd offense	suspension of License
	4 th offense	revocation of License
Selling Liquor within the Navajo Nation to an intoxicated person	1 st offense	\$500
	2 nd offense	\$1,000
	3 rd offense	suspension of License
	4 th offense	revocation of License
Permitting an uncertified server to sell or serve Liquor within the Navajo Nation	1 st offense	\$500
	2 nd offense	\$1,000
	3 rd offense	suspension of License
	4 th offense	revocation of License
Failing to allow inspection of premises designated in a Retailer License or vehicles used to deliver Liquor within the Navajo Nation		\$1,000 per violation
Failing to present documents required by these Regulations upon a request by the Office		\$1,000 per violation
Failing to display License		\$1,500 per violation
Being convicted of a felony or a misdemeanor involving a crime of moral turpitude		Revocation of License
Permitting repeated acts of violence or disorderly conduct on or near the premises designated in the Retailer License		\$500 per violation
Submitting false or fraudulent information on a License application		Revocation of License
Violating any applicable Navajo Nation or Federal Law	1 st offense	suspension of License
	2 nd offense	revocation of License

IV. Procedure for Imposition of Penalties

At any time that the Office becomes aware of a violation of any provision of the Regulations, the Office shall issue a penalty assessment to the appropriate entity pursuant to the above list.

V. Appeal of Penalty Assessment

Imposition of a penalty pursuant to these Policies and Procedures is considered an adverse action and may be appealed in accordance with the appeal process set out in the Navajo Liquor Regulations.

For more information, contact:
Office of the Navajo Tax Commission
PO Box 1903
Window Rock, AZ 86515

(928) 871-6681